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| APPLICATION NO.    | FILING DATE                       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |
|--------------------|-----------------------------------|----------------------|------------------------------|------------------|
| 10/776,909         | 02/11/2004                        | Louis R. Degenaro    | YOR919990064US2<br>(8728-258 | 3057             |
|                    | 7590 02/22/2010<br>SSOCIATES, LLC |                      | EXAMINER                     |                  |
| 130 WOODBU         | RY ROAD                           |                      | VERBRUGGE, KEVIN             |                  |
| WOODBURY, NY 11797 |                                   |                      | ART UNIT                     | PAPER NUMBER     |
|                    |                                   |                      | 2189                         |                  |
|                    |                                   |                      |                              |                  |
|                    |                                   |                      | MAIL DATE                    | DELIVERY MODE    |
|                    |                                   |                      | 02/22/2010                   | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  |   | Application No.  | Applicant(s)          |  |  |  |
|--|---|--|-----------------------|--|--|--|
| Office Action Summary  |   | 10/776,909   | DEGENARO ET AL.       |  |  |  |
|  |   | Examiner   | Art Unit              |  |  |  |
|  |   | Kevin Verbrugge  | 2189                  |  |  |  |
| Period fo  | The MAILING DATE of this communication app<br>or Reply  | ears on the cover sheet with the c                                 | orrespondence address |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |  |                       |  |  |  |
| Status   |   |  |                       |  |  |  |
| 1)[\]  | Responsive to communication(s) filed on 20 /s   | nuary 2010   |                       |  |  |  |
|  | Responsive to communication(s) filed on <u>20 January 2010</u> .  This action is <b>FINAL</b> .  2b) This action is non-final.  |  |                       |  |  |  |
| ′=   | , <del>_</del>  |  |                       |  |  |  |
| 3)[  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |  |                       |  |  |  |
|  | closed in accordance with the practice under z  | x parte Quayle, 1900 C.D. 11, 40                                   | 0.0.210.              |  |  |  |
| Dispositi  | on of Claims  |  |                       |  |  |  |
| 4)🛛  | ☑ Claim(s) <u>1-51</u> is/are pending in the application.   |  |                       |  |  |  |
| •  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |  |                       |  |  |  |
|  | 5) Claim(s) is/are allowed.   |  |                       |  |  |  |
| •  | 6)⊠ Claim(s) <u>1-51</u> is/are rejected.   |  |                       |  |  |  |
|  | Claim(s) is/are objected to.  |  |                       |  |  |  |
| ·  |   |  |                       |  |  |  |
|  | on Papers   | ·  |                       |  |  |  |
|  | ·   |  |                       |  |  |  |
| 9) The specification is objected to by the Examiner.   |   |  |                       |  |  |  |
| 10)  | The drawing(s) filed on is/are: a)☐ acce  |  |                       |  |  |  |
|  | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |                       |  |  |  |
| _  | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |  |                       |  |  |  |
| 11)[   | 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |  |                       |  |  |  |
| Priority u   | ınder 35 U.S.C. § 119   |  |                       |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |  |                       |  |  |  |
| 2)  Notic 3) Inforr  | e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO/SB/08)  | 4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P | te                    |  |  |  |
| Pape   | Paper No(s)/Mail Date 6) Other:   |  |                       |  |  |  |

# **DETAILED ACTION**

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# Response to Amendment

This final Office action is in response to the amendment filed 1/20/10 which amended claims 1, 18, 35, 42, and 46. Claims 1-51 are pending. The amendments overcome the rejection based on 35 USC 101 which is therefore withdrawn and the arguments are persuasive so the rejections based on 35 USC 102 and 103 are withdrawn. No terminal disclaimer has been filed so the double patenting rejection is repeated. The amendments and arguments overcome the 35 USC 112 first paragraph rejection which is therefore withdrawn, however the amendments introduce a new problem which necessitated the 35 USC 112 second paragraph rejection below.

In summary, all rejections and objections not repeated below are withdrawn.

### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to

be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-51 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-37 of U.S. Patent No. 6,725,333.

Although the conflicting claims are not identical, they are not patentably distinct from each other because the patented claims contain every element of claims 1-51 of the instant application and therefore anticipate the claims of the instant application.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 42 and 46 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Both claims have been amended to include the phrase "due to execution of the cachable entity." However, the specification and claims (such as claims 1, 3, etc.) make it clear that it is a <u>statement</u> that is executed, <u>not</u> a cachable entity. The claims as written require determining the probability that a statement will execute (the language of the parent claims) by determining the likelihood of a value of a cachable entity changing due to execution of that same cachable entity. It is not clear how an entity can change

due to execution of itself. More likely, the entity changes due to execution of some statement. Correction or clarification is required. If Applicant intended the language as amended, support must be shown from the specification.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this Office action should be directed to the Examiner by phone at (571) 272-4214.

Any response to this Office action should be labeled appropriately (including serial number, Art Unit 2189, and type of response) and mailed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, hand-carried or delivered to the

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Customer Service Window at the Randolph Building, 401 Dulany Street, Alexandria, VA 22313, or faxed to (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197. If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kevin Verbrugge/

Kevin Verbrugge Primary Examiner Art Unit 2189